

2017 CALENDAR YEAR

Enforcement Detail

JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC TOTAL

Complaints/Cases

Informal Complaint Intakes	3	9	8	4	3	2	2	1	3	4	1	6	46
Formal Complaints Received	3	1	8	1	3	2	7	7	6	7	5	3	53
Closed Complaints	4	0	1	4	5	4	6	5	1	12	5	9	56
Referred Cases	1	0	2	0	0	8	7	0	1	1	0	2	22

Disposition of Closed Complaints

No jurisdiction	0	0	0	0	0	0	7	0	1	1	3	3	15
No basis to proceed	0	0	0	2	4	2	4	4	0	7	2	7	32
No fault found	1	0	1	1	1	2	1	1	1	1	0	0	10
Cease and desist letter	0	0	0	0	0	0	0	0	0	0	0	0	0
Educational letter	0	0	0	0	0	0	0	0	0	1	0	1	2
Reprimand	0	0	0	0	0	0	0	0	0	1	0	0	1
Suspension	2	0	0	0	0	0	0	0	0	0	0	0	2
Revocation	1	0	0	1	0	0	0	0	0	0	0	0	2
Practice restriction	0	0	0	0	0	0	0	0	0	0	0	0	0
Remedial CE	0	0	0	0	0	0	0	0	0	0	0	0	0
Restoration of License	0	0	0	0	0	0	0	0	0	2	0	0	2
Total													66

Categories of Open Investigations by Quarter

	FY17 Q3	FY17 Q4	FY18 Q1	FY18 Q2
Negligence/Release of Records	1	0	1	1
Negligence/Maint. Retention of Records	1	1	1	1
Negligence/General	7	6	4	9
Remuneration	0	1	0	0
Prohib Mult. Relationship--Non-Sexual	1	0	0	0
Prohib Mult. Relationship--Sexual	1	0	2	2
Testing and Test Interpretations	0	0	0	1
Confidentiality	0	1	0	1
Competence/Domestic Relations	2	0	0	0
Competence/Other Forensics	6	3	1	2
Competence/Standard of Care	0	4	8	11
Competence/General	1	0	0	0
Welfare of Client/Conflict/Dom Rel	4	3	3	0
Welfare of Client/General/Other	1	3	2	1
Violations of Law	1	0	0	0
Supervision Rules	0	0	0	1
Practicing On an Expired License	0	1	2	0
Restoration of License Request	1	1	1	0
Unlicensed Practice	1	0	0	2
Impairment	0	0	1	1
Open Investigations	28	24	26	33