

FY2018

JUL AUG SEP OCT NOV DEC JAN FEB MAR APR MAY JUN TOTAL

Complaints/Cases

Informal Complaint Intakes	2	1	3	4	1	6	0	1	3	0	0	0	21
Formal Complaints Received	7	7	6	7	5	3	4	4	4	5	3	2	57
Closed Complaints	6	5	1	12	5	9	17	4	6	11	8	9	93
Referred Cases	7	0	1	1	0	2	1	3	2	2	0	0	19

Disposition of Closed Complaints

No jurisdiction	7	0	1	1	3	3	3	0	1	2	0	0	21
No basis to proceed	4	4	0	7	2	7	12	0	3	5	4	9	57
No fault found	1	1	1	2	0	0	1	4	2	0	2	0	14
Cease and desist letter	0	0	0	0	0	0	0	0	0	0	1	0	1
Reprimand	0	0	0	1	0	0	0	0	0	1	0	0	2
Suspension	0	0	0	0	0	0	1	0	0	0	0	0	1
Revocation	0	0	0	0	0	0	0	0	0	1	0	0	1
Practice restriction	0	0	0	0	0	0	0	0	0	0	0	0	0
Remedial CE	0	0	0	0	0	0	0	0	0	0	0	0	0
Restoration of License	0	0	0	2	0	0	0	0	0	1	0	0	3
Modification to Consent Agreement	0	0	0	0	0	0	0	0	0	1	0	0	1
Total													101

Categories of Open Investigations by Quarter

	FY18 Q1	FY18 Q2	FY18 Q3	FY18 Q4
Negligence/Release of Records	1	1	2	5
Negligence/Maint. Retention of Records	1	1	0	0
Negligence/General	4	9	8	10
Negligence/Competence/Standard of Care	0	2	0	2
Prohibited Mult. Relationship--Sexual	1	1	2	1
Testing and Test Interpretation	0	1	0	0
Confidentiality	0	1	1	1
Competence/Other Forensics	1	2	0	0
Competence/Standard of Care	8	11	11	9
Competence/General	0	0	0	0
Welfare of Client/Conflict/Domestic Rel	3	0	0	0
Welfare of Client/General/Other	2	1	2	1
Supervision Rules	0	1	1	2
Practicing On an Expired License	2	0	0	0
Violations of Order or Agreement	0	0	0	0
Restoration of License Request	1	0	1	0
Unlicensed Practice	0	2	6	3
Impairment	1	1	1	0
Other	0	0	1	0
Open Investigations	25	34	36	34